

**WASH Sector Annual Progress Review
(2071/2072)**

“Setting the Scene”

Introductory Remarks

Ram Chandra Devkota
Joint Secretary MoUD

WASH Sector Objective (NPC 2010)

To improve public health and increase living standard of the people by providing **safe, reliable and sustainable** drinking water and sanitation services.

Motto

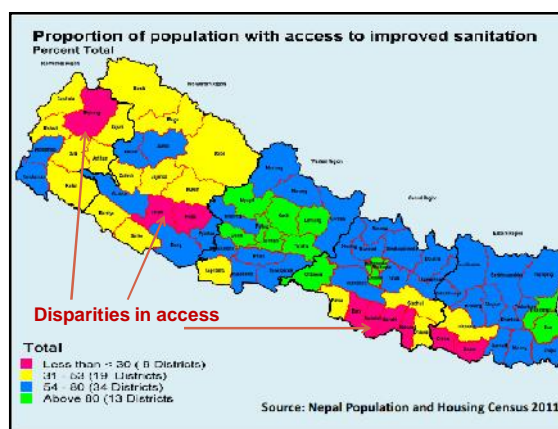
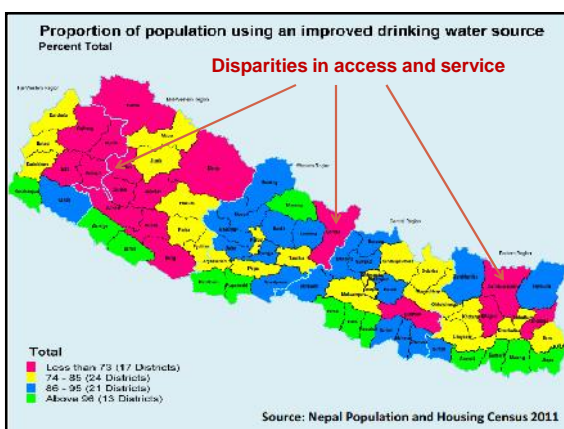
Sanitation and Water for All by 2017

What does it need ?

- Conducive policies
- Good planning and harmonization at all levels
- Functional approaches to construct rural and urban WASH facilities
- Functional approaches to manage and sustain rural and urban WASH facilities
- Good monitoring and learning, feedback and adjustment
- Capacity building and training
- Sector financing and resourcing, incl. through private sector, civil society, etc.

| National Level Water Supply and Sanitation Coverage | | | | | | |
|---|----------------|--------------|-------------------|--------------|----------------|--------------|
| Coverage | NMIP 2010 | | NMIP (prel.) 2012 | | 2011 Census | |
| | Water Supply % | Sanitation % | Water Supply % | Sanitation % | Water Supply % | Sanitation % |
| Eastern | 76.4 | 42.2 | 77.11 | 49.08 | 89.8 | 60.3 |
| Central | 81.3 | 46.1 | 86.42 | 57.69 | 85.3 | 63.9 |
| Western | 84.1 | 48.1 | 88.71 | 61.6 | 84.6 | 73 |
| Mid-Western | 77.1 | 47.1 | 67.33 | 51.4 | 75.1 | 51.4 |
| Far-Western | 58.2 | 29.1 | 76.57 | 39.37 | 81.8 | 47.3 |
| National Level | 81.3 | 43.1 | 81.02 | 55.76 | 85.4 | 61.8 |

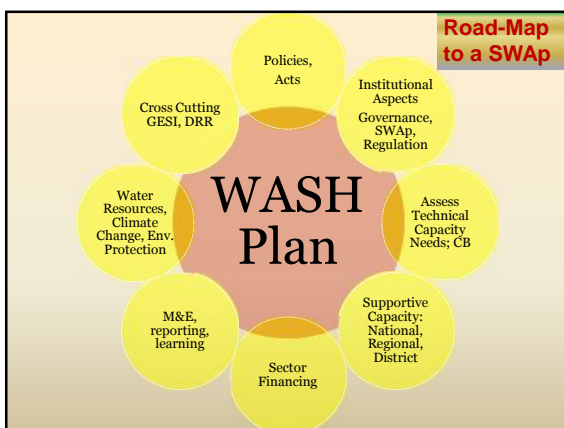
Annotations: A blue cloud labeled "Stagnant" points to the Western and Mid-Western rows. A yellow cloud labeled "Gain of 6% per year" points to the Western and Far-Western rows.



Diverse Challenges

7

- Rights-based service delivery to all
- Coping with urban growth and WASH aspirations
- Restoring functionality of rural schemes
- Total Sanitation, also in lagging *terai* districts
- Establishing capacity in fecal sludge and waste water management, protecting ecosystems
- Successful financing and management models: esp. to provide access for urban poor !
- Strengthening regulatory aspects, beyond tariffs



Updates on SEIU Activities

JSR2 Resolution:

In March-April 2014, MoUD hosted Joint Sector Review (JSR2) of Nepal's WASH Sector.

Around 200 participants from wide across the stakeholders attended the conference

8 TWGs and 5 LVTs-major drivers contributing to conference outputs

One major output of the JSR2 was a Technical Report, which distilled the findings and the recommendations of the TWGs. This Report is expected to serve as the basis for the preparation of the SSR 2014.

SSR 2014 is expected to give an impetus to the sector stakeholders in terms of what the collective achievements have been in the sector and to draw attention to where the gaps are so that effective policies, practices and interventions could be discussed and designed.

Development of a Comprehensive WASH Act and Policy

WSS Act: Nepal WASH sector has suffered from many policies and Acts. Realizing this, a WSS Act, with corresponding policy has been drafted.

These instruments will integrate existing WASH policies and Laws into a single compressive legislative and institutional framework.

Provision in the Act includes: priority right on sources, water diversion and related compensation, groundwater extraction and corresponding regulation, WASH services and quality standards, cost recovery, operation and management, PPPs and penalty provisions.

Sector Regulation: is critical to the progressive realization of the human right to WASH services, and protection of the public interest in a product that is fundamental to human wellbeing.

In this connection a WSS Regulation is also in process of drafting

Development of a Comprehensive WASH Act and Policy

MDG urged GoN to set higher targets for the service provisions and expedite achievement adopting a number of new policies and strategies.

Nepal has already met MDG for basic water supply and sanitation

Need for the New Policy is driven by the need for:

- a single water supply and sanitation policy
- update of the urban and rural watsan policies

The New WSS Policy is the revision and merger of two old Policies:

- RWSS National Policy 2004 and
- UWSS Policy 2009.

WASH Policy: will outline on: objectives and strategies on institutional roles and responsibilities, service levels, programing, implementation and monitoring, sector financing and coordination, and roles of private sector and civil society.

Service Level Categorization: Three categories of service levels have been defined for both water supply and sanitation (H/M/Basic)

Further studies ahead:

Prepare **sector roadmaps** which translate sector vision and mission statements, into desired outcomes, strategies, activities and ultimately into action plans.

Action Plans for the National Urban Water Supply and Sanitation Policy Plan will be prepared under the SEIU project in close consultation with stakeholders.

Training and Capacity Building Strategy:

Capacity development is at the heart of the National WASH Program.

TNA for the WASH sector was undertaken in the last winter with JICA support, as part of the SEIU programme. The purpose of the TNA was to identify training requirements in the WASH sector as a contribution to the formulation of National WASH SDP.

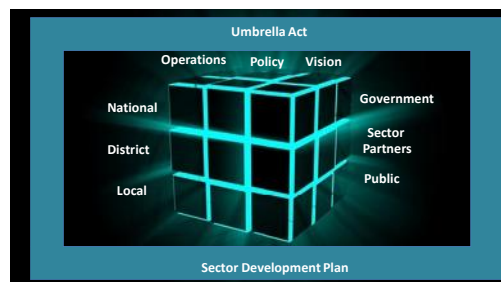
The TNA resulted in a well-documented final report covering:

- assessed capacity of WUSCs;
- development of a list of existed training activities;
- assessed existed training modules developed by various agencies;
- identified training needs for the sector; and
- recommended a formulation of comprehensive training modules.

TNA study revealed that while there have been significant achievements in the sector, the WASH sector needs to meet various challenges and implement strategical adjustments in policy and implementation.

Critical to success will be the capability and capacity of the sector to to design and deliver effective training programmes, that are embedded in a long-term capacity building strategy. Key challenges and opportunities have been listed in it.

Communication Strategy for SEIU- Main Framework

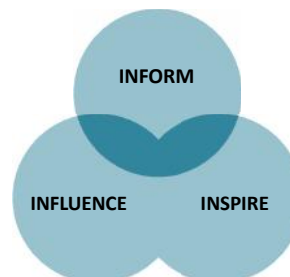


KEY MESSAGE AND PRINCIPLES

WATER COMMON RESOURCE
AND RESPONSIBILITY

- RIGHTS-BASED APPROACH
- QUALITY AND ACCESS
- OWNERSHIP AND PARTICIPATION
- SUSTAINABILITY

STRATEGIC INTENT



PROCESS

- **FIRST PHASE:**
 - PRELIMINARY DATA-GATHERING AND CONSULTATIONS
 - DEVELOPMENT OF MAIN STRATEGY OUTLINE - September
- **SECOND PHASE:**
 - CONSULTATIVE PROCESS ON DEVELOPMENT OF SPECIFIC STRATEGIES AND OPERATIONAL OPTIONS - September - October
 - DEVELOPMENT OF MAIN STRATEGY DRAFT AND VALIDATION WORKSHOP – November
- **THIRD PHASE:**
 - FINALISATION AND SIGN-OFF ON STRATEGY DOCUMENT - December

The draft outline is available on SEIU's website.

Benchmarking & Performance Assessment:

Started in early 2013 and will prolong till late 2014

Activities involved in Benchmarking Process:

Establishment of BMU
The BMU Orientation Workshop
WSP Orientation Workshop
field visit and Data verification
Data Analysis and Performance Assessment Program
Performance Improvement Planning and BP of WSPs

Benchmarking & Performance Assessment:

Started in early 2013 and will prolong till late 2014

First Round- 3 Orientation Workshops for WSPs (Pkr, Htd, Brt)

Second Round- 1 Orientation Workshop for WSPs (Gaidakot)

Third Round- 1 Orientation Workshop for WSPs (Npg)

Fourth Round- Orientation Workshop for absentee NWSC branches

First Data Book published in March 2014, included 32 WSP data

Performance Improvement Planning (PIP) Workshop: (Hetauda)

Second Databook series publication underway, includes 80-107 WSPs

Sector Development Plan (SDP):

On Jan3, 2013, GoN approved the ToR for a National WASH Program.

It gives expression to the interest of GoN, its partners the WASH sector, to formulate a comprehensive program for a final push to achieve universal coverage in water supply, and sanitation by 2017.

Expected duration for making SDP: Learnings of surrounding countries suggests that long process and time is needed to materialize SDP. We are expecting to complete it within mid 2015.

The duration of SDP will be 2015-2020.

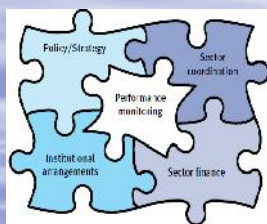
Manpower to make SDP: TL of SEIUC, consultant from UNICEF and other DPs for each tasks of WASH matrix activities are involved in addition to related GoN officials, DPs and NGOs.

SEIU would like to seek max. participatory inputs from sector partners on themes like Sector financing, Urban WatSan, WASH in institutions and so on

SDP Outline:

An outline with basic skeleton of SDP has been floated for stakeholder review and feedback.

The SDP will be characterized by five building blocks:

**Mission:**

An effective, responsive, transparent, and accountable WASH Sector.

The sector will adapt one Act, one national policy framework, one national sector development plan, and one performance report to realize the vision.

Other Consultative Meetings & Workshops:

Development of a Sustainable Service Delivery Approach for WASH in Emerging/Small Towns (June 7, 2014): was organized in view of the last few years DWSS activities including WSMIP, WSP, WOP and BM conducted by DWSS in partnership with SEIU, ADB, JICA and WHO, to enhance capacity of service providers to improve services.

Formulating a Comprehensive Capacity Development Strategy and Program in WASH Sector (June 25):

Objectives: In the light of the TNA, assess needs and issues with current training provision and brainstorm about possible solutions; and set a clear direction and agree on action points in the next few months towards formulating a comprehensive, annual Capacity Development and Training programme.

Policies and Guidelines on Wastewater Management: under way ...

Outcome Tracker Mobile Apps: Initiations

- MTOT on Flow conducted in July for 15 GoN officials
- # of questions – less is more!
- Photograph & geo-tag
- Introduction: name, informed consent, ID #
- Poverty Status
- Toilet Coverage
- Usage Behavior by hh member
- Child feces disposal
- Hand-washing ... and so on ...

Why to go for Mobile Apps Surveys?

- Relatively low average unit cost per record
- QUICK! Real time data transfer
- Electronic data entry, reduces error
- Date/time stamp, helps with quality assurance
- Geo-tag and photograph increases credibility

**Future Developments and Capacity Building of SEIU**

SEIU is still a young and leans heavily on the consultancy component and the support from sector partners.

Even then it has produced quite reasonable outputs in the last few years, and it will be an institution to support to ensure greater coordination and capacity in the sector.

Ideas are coming up to transform it as Sector Governance Improvement Unit. Its future depends heavily on internalization of things like SDP and so on.

Future Developments and Capacity Building of SEIU

SEIU is thinking to work on BP for 2015-2020 to ensure that demands of the sector is met.

The BP needs to include capacity to:

- review, advise and monitor the work that various sector agencies undertake,
- create an enabling environment for the functioning of WASH CCs,
- support BMs and efficiency improvement of small town and community WASH systems,
- including waste water management before discharge, etc,

SECTOR EFFICIENCY IMPROVEMENT UNIT (SEIU)



Government of Nepal
Ministry of Urban Development
Water Supply and Environment Division
Sector Efficiency Improvement Unit (SEIU)

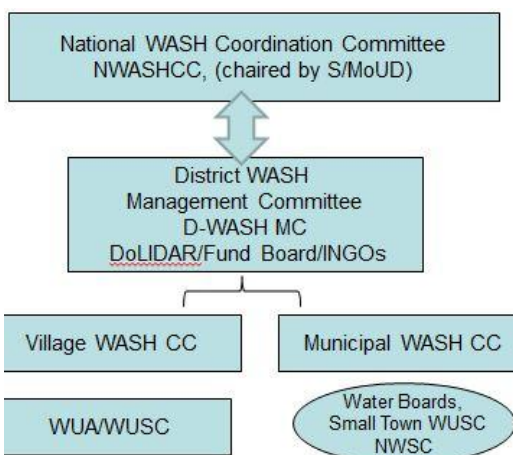
The Sector Efficiency Improvement Unit (SEIU) was established on 31 July 2009 with the objective to arrive at a transparent, accountable, service-oriented and responsive WASH Sector. The Government has institutionalized SEIU on June 2012 as a permanent unit of the Ministry of Urban Development, where it acts as the Secretariat to Sector-wide coordination, planning and monitoring of the water supply and sanitation programmes of the Government of Nepal. The Government of Nepal provides comprehensive support to the sector efficiency improvement processes by ensuring policy harmonization, adequate monitoring and performance assessment, targeted financing and capacity building, and by creating a regulatory framework that protects and encourages good practices in the delivery of WASH services.

SEIU is working on various aspects of sector improvement working closely with all WASH sector partners through a consultative and participatory process.

Together, SEIU and sector partners will develop a platform for harmonizing stakeholders' approaches to improve the quality and sustainability of services. It support reviews of (programme) policies and priorities, institutional structures, subsidy arrangements and implementation modalities and increasingly manage learning processes to enhance sector knowledge.



Institutional Development:



The JSRII recommended that the Sector rebalance the WASH coordination committees at various levels to include water supply, sanitation, hygiene and waste water. During the successful national total sanitation movement, the Sector has used the DWASH-MC mechanism very effectively and learned a lot in the process. The experience can be used to strengthen the DWASH-MC in its coordination and planning roles, and also provide it with a stronger management and monitoring capacity so that it will pursue local implementation by stakeholders more effectively. In addition, the management capacity of the DWASH-MC needs to be used to raise functionality and service delivery in all schemes in the district. The Operational Directives and other regulatory processes will support this task.

The relation between the National WASH-CC and the WASH-MC is quite close, with plenty of interaction through the SEIU as the Secretariat. In turn the DWASH-CC supervises the Village and Municipal WASH CC and supports them in their tasks.

Formation of new act and policy for the sector



Through a consultative process SEIU has drafted an umbrella act and policy for the water, sanitation and hygiene sector. The draft policy and act will apply to all government sector agencies, local bodies, government enterprises, non-government organizations, service providers, community based organizations, and private sector. In the draft, Government's sovereign right over natural water sources is recognized and traditional rights of Individual and community protected. The new policy rolls the two separate urban and rural sub-sector policies in to a single policy document. The new policy is update and harmonization of the existing urban and rural policies, which has also been able to bring consistencies in the two policies. The umbrella act fulfils the need of a comprehensive law for the effective, efficient and harmonized water supply, waste water and sanitation service delivery in accordance the stated Government policies. The draft act is available on SEIU's website for public discussion and review. <http://seiu.gov.np/index.php/new-act>

Human resource development and capacity building strategy

The Training Need Assessment completed in March 2014 by SEIU, with JICA support, showed the gap in training and capacity building in the Sector. Encouraged by strong recommendations during the JSR II, SEIU initiated the formulation of a HRD and capacity building strategy.

The strategy puts forward a pragmatic vision for human resources development for the WASH sector on critical aspects and challenges to ensure sustained capacity building efforts at national and district level, for rural water supply and sanitation schemes and for urban WASH service providers, in line with current government policies. It seeks to lay out strategic recommendations to the MoUD, DWSS, SEIU and National Water Supply and Sanitation Training Centre (NWSSTC, earlier named CHRDU) on how to facilitate access by all stakeholders to effective, relevant and well-designed training and capacity building resources and programs.



Benchmarking exercise for Small Town Water Supply Systems



Benchmarking and Performance Assessment of Water Service Providers (WSPs) was started in early 2013 to develop capacity for monitoring functionality and performance of Nepal's water supply service providers as an instrument for improving the service delivery and performance of the urban water supply sector. Three rounds of orientation workshops for WSPs have been conducted so far involving 102 WSPs. In April 2014, a data book was produced with the results on the 32 participating WSPs. A Performance Improvement Planning workshop was conducted for the WSPs included in data book 2012/2013. MIS is under preparation to support the Benchmarking process. This process has been found very useful and effective for the performance improvement planning of WSPs.

A next data book will be published in November 2014.

Communication strategy for the sector



Communication is an essential dimension of the sector development plan. A communication strategy, developed, agreed and operationalized by relevant sector stakeholders, is important to inform and get feedback on sector developments and achievements.

Effective communication within the sector is important for greater harmonization and cost-effective resource utilization. Regular communication will contribute to the achievement of broad sectoral goals, objectives and policy agenda. SEIU is currently drafting a communication strategy for the sector through a consultative process. The strategy will support the realization of the approaches, objectives, priority streams and the organizational arrangements in the sector.



SEIU is available on twitter and internet



<https://twitter.com/Nepalwash>



<http://seiu.gov.np/>